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2	Biosecurity: Reducing the Burden of Disease ¹				
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¹ Disclaimer: The views, thoughts, and opinions expressed in this review belong solely to the authors, and the rest of the contributors may not agree in its entirety.

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Key messages

Considering the "burden of disease" in aquaculture and the substantial social and economic impacts it poses, biosecurity, disease control and health management should be recognized as an integral part of the global aquaculture development strategy. The states therefore should:

- 1. Recognizing that "prevention is better than cure", implement good farming and biosecurity strategies, which include the use of clean and healthy seed and broodstock, that would minimize production systems and practices' exposure to pathogens,
- 2. Strengthen regulatory frameworks on movement of live aquatic animals, aquatic plants, and aquaculture inputs to reduce the risks of direct or indirect introduction, establishment and spread of aquatic animal pathogens and resulting impacts on aquatic biodiversity,
- 3. Increase research, especially in the field of molecular and genetic technologies (metagenomics and pathobiome), to develop pathogen free, disease tolerant or disease resistant broodstock and seed, vaccination, accurate and sensitive diagnostic tools, safe therapeutants, alternatives to antimicrobials, and effective control method for reoccurring and emerging diseases and pathogens, which are affordable and accessible to all scales of aquaculture,
- 4. Enhance communication and dialogue among stakeholders, improve disease reporting, strengthen emergency response, implement surveillance, apply smart biosecurity, and promote holistic and risk-based Progressive Management Pathway to minimize global spread of diseases,
- 5. Improve capacity to prevent diseases and manage aquaculture health at national, regional, and global levels
- 6. Recognize the threat of emergence of antimicrobial agent resistance associated with aquaculture and the aquatic environments; taking actions along One-Health goals on prudent use of antimicrobials and provision of adequate support services to the industry and to acquire good data.

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46 Introduction

Fish is an important, nutritious, and chosen food commodity with a high consumer demand, requiring a 47 48 continuously increasing volumes of supplies. According to FAO fisheries and aquaculture statistics, 49 aquaculture accounted for 56.2 percent of combined global fisheries and aquaculture production in 2019 50 (120.1 million tonnes produced with a value of USD 274.6 billion). Aquaculture production accounted for 51 47.8 percent of fish for human consumption over the same year. With marine fish catches relatively static 52 since the late 1980s, aquaculture has been responsible for the continuing impressive growth in the supply 53 of fish² for human consumption. If fish production and trade take place as "business as usual" (supply 54 based on continued recent growth trends), there will be a significant demand-supply gap by 2030. Recent 55 trends confirm that global aquaculture will continue to expand, diversify, and intensify over the coming 56 decades, to bridge the demand-supply gap. What is important is that we bring our past experiences in 57 tackling the hurdles and bottlenecks of sector growth, to ensure that this predicted expansion and 58 intensification will result in sustainable aquaculture development and production.

² Unless otherwise specified, throughout this chapter, the term "fish" indicates fish, crustaceans, molluscs, and other aquatic animals, but excludes aquatic mammals, reptiles, seaweeds, and other aquatic plants

59 One of the toughest hurdles that aquaculture sector experienced in the past is reducing the socio-economic 60 burden of disease and managing health of cultured species. Disease is a major constraint to global 61 aquaculture production. Conservative estimates suggest that 10 percent of all cultured aquatic animals are 62 lost on global scale, due to infectious diseases alone, amounting to over 10 billion USD in loss revenue 63 annually. During the past two decades, we witnessed increasing incidents of emergence and re-emergence 64 of pathogens and diseases. It has also become apparent that when a disease emerged or re-emerged, it 65 spread across the region and beyond, regardless of water or land barriers between. This concludes that 66 movement of pathogens and spread of diseases are much related to movement of fish, especially live 67 animals destined for aquaculture or ornamental fish industry. There are also other routes of pathogen transfer such as wild reservoirs, ballast water, biofouling, microplastics, and water currents. Considering the 68 69 unaffordable socio-economic burdens resulted in disease outbreaks and the complex nature of their spread, 70 modern aquaculture disease control and health management strategies call for application of a holistic 71 approach, encompassing total biosecurity. In this regard, FAO recently stated that "a paradigm shift is 72 needed in dealing with aquaculture biosecurity risks". By the time, the pathogen has been identified and its 73 host range determined, it may have already become widespread globally (including to wild populations), 74 through the movement of live animals of uncertain health status, most often for aquaculture development.

Biosecurity in aquaculture consists of practices that minimize the risk of pathogen transfer, establishment and spread. These include practices for reducing the stress to fish, thus making them less susceptible to pathogens/disease. Over the past four decades, many disease outbreaks and mass mortality events in aquatic populations occurred, causing serious production losses and consequently food availability and job loss when farms are closed and markets affected, which have increased the awareness of the importance of biosecurity. Detailed analysis and assessment of the specific segments of the aquaculture value chain when pathogens may be introduced or disease may develop, help us to develop precautionary measures.

82 According to FAO's novel approach-Progressive Management Pathway (PMP) - aquaculture biosecurity 83 is defined as "cost-effective management of risks posed by pathogenic agents to aquaculture through a 84 strategic approach at enterprise, national and international levels with shared public-private 85 responsibilities". Main components of a holistic and progressive biosecurity management approach should 86 include, among others: (a) animal management - obtaining healthy stocks and optimizing their health and 87 immunity through good husbandry, (b) pathogen management - preventing, reducing, or eliminating 88 pathogens, (c) people management - educating and managing relevant stakeholders, (d) appropriate research 89 and more importantly (e) the conducive policy.

90 Historical Perspective

Globally, a trend in aquaculture is that a previously unreported pathogen that causes a new and unknown disease will emerge, spread rapidly, including across national borders, and cause major production losses approximately every three to five years. Similarly, re-emergence of previously known diseases of reported pathogens takes place across the borders. It is evident that inadvertent transfer of pathogens through uncontrolled or unregulated movement of fish are generally responsible for the spread of pathogens across borders. There are many such examples of transboundary outbreaks of fish disease over the past decades.

97 The last three decades have shown how government authorities, industry and all stakeholders were and are 98 still being challenged by serious diseases and mass mortality events (MMEs) of farmed and wild populations 99 of aquatic organisms. Since 2009, e.g., new pathogens and diseases, such as acute hepatopancreatic necrosis 100 disease (AHPND), tilapia lake virus (TiLV), white faeces syndrome (WFS) and more recently Enterocytogoon 101 hepatopenaei (EHP), decapod iridescent virus (DIV1) and the decapod iridescent virus 1 (DIV1) of cultured 102 shrimp, prawn, and crayfish have emerged without warning. This is mostly related to the use of wild 103 broodstock or broodstock exposed to wild animals (i.e., from cages) or fed with infected fresh/live feeds 104 where the pathogen finds a new susceptible host (i.e., AHPND and EHP). Known diseases appeared into 105 new geographical localities, e.g., white spot disease (WSD) in the Kingdom of Saudi Arabia and Australia, 106 koi herpesvirus (KHV) in Iraq, multinucleate spore X (MSX - Haplosporidium nelsoni) in Canada, epizootic 107 ulcerative syndrome (EUS) in the Democratic Republic of Congo and Malawi, infectious myonecrosis virus 108 (IMNV) in India and Malavsia, and infectious spleen kidney necrosis virus (ISKNV) in Ghana. Responses 109 to aquatic disease outbreaks and MMEs varied, depending on the causative pathogen of concern and 110 industry affected. In most cases, losses were economically significant (Table 1), despite the lack of 111 systematic economic evaluations.

Period	Species group	Disease	Losses (USD)	Reference
1983	freshwater finfish	Lernaea cyprinacea	11.4 million	Djajadiredja et al., 1983
1987-1994	shrimp	several pathogens	3 019 million	Israngkura and Sae-Hae, 2002
1998-1999	salmon	infectious salmon anaemia	39 million	Hastings et al., 1999
2002-present	American oysters	Haplosporidium nelsoni	19 million ³	Hancock, 2013
2002-2004	common carp and koi carp	koi herpesvirus	0.5 to 25 million	Bondad-Reantaso et al., 2007
2002-2012	shrimp	infectious myonecrosis virus	1 billion	Lightner et al., 2012
2010-2018	shrimp	acute hepatopancreatic necrosis disease (AHPND)	12 billion	Shinn <i>et al.</i> , 2018
2017	tilapia	several pathogens	450 million	ARAAH 2017*
2017	shrimp	several pathogens	1.6 billion ⁴	ARAAH 2017
2017	oysters	several pathogens	540 million ⁴	ARAAH 2017
2017	seaweed	several pathogens	190 million ⁴	ARAAH 2017

112 *Table 1. Examples of estimated losses due to diseases of aquatic organisms*

113 *Annual Report on Aquatic Animal Health in China, 2017

114 Global Aquaculture Conference 2000 and 2010

FAO has been promoting the importance of aquatic animal health management and aquatic biosecurity, 115 116 especially in aquaculture sector, over the past three decades. In February 2000, some 540 participants from 117 66 countries participated in the "Conference on Aquaculture in the Third Millennium" in Bangkok, 118 Thailand. This Conference was organised by the Network of Aquaculture Centres in Asia-Pacific (NACA) 119 and the FAO and hosted by the Government of Thailand. Against this background, the Conference 120 participants discussed strategies for the development of aquaculture for the next two decades, in the light 121 of the future economic, social, and environmental issues and advances in aquaculture technologies. Based 122 on these deliberations, the participants to the Conference adopted the Bangkok Declaration. This historical 123 declaration explicitly called for efforts to improve aquatic animal health management. The declaration stated 124 that:

125 Disease is currently an important constraint to aquaculture growth which has impacted both socio-economic development and 126 rural livelihoods in some countries. Addressing aquatic animal health issues has, therefore, become an urgent requirement for

sustaining growth of aquaculture, especially through pro-active programmes. Harmonising health protection approaches and measures and effective co-operation at national, regional, and inter-regional levels are needed to maximise the effectiveness of

129 *limited resources. This can be achieved through:*

³ \$1 million per year in Cape Breton, NS Canada. Losses far greater in Chesapeake and Delaware Bays, eastern USA between 1950s and present, but economic values complicated by the presence of Dermo disease (*Perkinsus marinus*) and another haplosporidian protistan (*H. costale*) causing Seaside Organism (SSO) disease.

- developing, harmonising, and enforcing appropriate and effective national, regional, and inter-regional policies and regulatory frameworks on introduction and movement of live aquatic animals and products to reduce the risks of introduction, establishment and spread of aquatic animal pathogens and resulting impacts on aquatic biodiversity.
- 133 capacity building at both the institutional and farmer levels through education and extension.
- developing and implementing effective national disease reporting systems, databases, and other mechanisms for collecting
 and analysing aquatic animal disease information.
- *improving technology through research to develop, standardise and validate accurate and sensitive diagnostic methods, safe therapeutants, and effective disease control methodologies, and through studies into emerging diseases and pathogens.*
- promoting a holistic systems approach to aquatic animal health management, emphasising preventative measures, and
 maintaining a healthy culture environment; and
- developing alternate health management strategies such as the use of disease resistant, domesticated strains of aquatic
 animals to reduce impact of diseases.

142 Establishment of an effective international mechanism, such as an international task force which is outcome-oriented with 143 focused strategies and milestones that are independent of vested interests, would be beneficial in reducing the losses due to diseases 144 in aquaculture.

145 Ten years later, in 2010, FAO and NACA revisited the Bangkok Declaration and assessed the progress 146 made on implementing its recommendation. The participants of the Global Conference on Aquaculture 147 2010 agreed that the progress has been made on implementing the provisions of the Bangkok Declaration 148 and Strategy; the Strategy continues to be relevant to the aquaculture development needs and aspirations 149 of States; and there are elements of the Strategy that require further strengthening to enhance its 150 effectiveness, achieve development goals and address persistent and emerging threats. They confirmed that 151 these global accords, with the Bangkok Declaration and Strategy as the core instrument for aquaculture 152 development, shall continue to guide the development and management of aquaculture beyond 2010 153 through the first quarter of this century.

154 Achievements, Issues and Challenges

155 Since the 2000 conference on aquaculture in the third millennium, there has been much progress in the 156 understanding and control of diseases of certain cultured species. Examples of some major 157 accomplishments include the development and widespread use of specific pathogen free (SPF) stocks to 158 supply farmers with clean seedstocks, sensitive and rapid molecular detection methods for pathogens and 159 innovative culture systems. The rate of these new innovations is increasing. However, more research efforts 160 are required to develop health management tools and strategies for low value and affordable species, such 161 as carps, tilapias and catfishes, where the production is largely contributed by the smallholders. Despite 162 these advances, the translation of new knowledge into global practical applications has been slower and 163 more patchy than desired, mainly due to inadequate dissemination and acceptance of new knowledge and 164 technologies at the grass-roots level. In addition, farmers are often confused by non-aligned claims from 165 academicians and commercial suppliers. Improving the situation will depend on the physical, economic, 166 and human resources available in each country and on the level of priority that its government assigns to 167 aquaculture. From the governance perspective, it is evident that many governments and national authorities 168 have invested in improving and expanding national biosecurity governance capacities with mixed success.

169 The challenges and problems of managing good biosecurity is wide-ranging and multifactorial with many 170 compounding factors to pre-dispose farmed stocks to an increased risk of infection with consequential 171 stock losses. Many challenges are anthropogenic in origin and may be the result from the physical location 172 (site) and/or the poor design of production facility (i.e., water re-use; lack of zoning based on biosecurity 173 risk, etc) as well as from inappropriate decisions and practices made once the site is in production (i.e., 174 pushing the system for increased biomass production). There is a need for better regulation and health 175 legislation across aquaculture – an industry which embraces the culture of >500 species. In the absence of 176 regulatory frameworks and culture guidelines, it is difficult for farmers to apply certain measures such as 177 maximum stocking densities and maximum allowed biomass, to conduct disease surveillance, regular health 178 checks and to report diseases to relevant authorities for advice.

179 In this review, we have identified several issues which are continuing to challenge the design and 180 implementation of efficient and effective biosecurity strategies and protocols at all levels, requiring attention 181 over the coming decade. They are, not in any order of priority: (a) healthy seed, (b) emergency preparedness 182 and response, (c) diagnostics, (d) microbial management at production level, (e) disease and pathogen 183 surveillance, (f) trade in aquatic species, (g) policies and regulatory framework (h) welfare, (i) research and 184 technology development, (j) antimicrobial resistance, (k) non-conventional ways of pathogen transfer, and 185 (l) Progressive Management Pathway (PMP).

186 Healthy Seed

Years of experience have now convinced the aquaculture industry and community that the use of clean and healthy seed should be given high priority in biosecurity for preventing disease outbreaks and subsequent losses. We now understand that infection does not necessarily imply disease and often, due to the culture conditions, infected broodstock, in many species, does not show signs of disease. The fact that disease is not manifested in broodstock, does not imply they are not infected and that, therefore, offspring will not be infected. Quite the contrary, it can be assumed that any pathogen present in broodstock is likely to be transmitted to the offspring through different pathways.

Exclusion of pathogens is a strategy that has been practiced in agriculture for decades. The (SPF) strategy used in aquaculture was copied from the poultry industry developed in the 1950's when they realized that poultry research was dependent on the use of animals free of diseases. The first aquaculture species that entered an SPF process was *Penaeus vannamei*. Over the years, SPF shrimp jumped into industrial scale commercial operations taking the lead within aquaculture industry and allowing the exponential growth of *P. vannamei* in Asia. The SPF strategy is nowadays also applied in the salmon industry and is increasingly being embraced by other aquaculture species.

SPF is a condition developed through careful management and breeding of a stock avoiding certain pathogens over a considerable period. Our experience in shrimp industry shows that even maintenance of stringent biosecurity in many production systems and facilities is difficult, using SPF post larvae will decrease the chances of infection and reduce the prevalence and the impact of diseases.

Shrimp domestication began in late 1980s and helped establish Specific Pathogen Free (SPF) shrimp stock. These were initially developed for research purposes but were successfully adopted by the industry and have been the basis of the most successful breeding programs. It has been proven, beyond doubt, that developing, maintaining, and using domesticated SPF shrimp stocks reduces the risk of disease outbreaks in shrimp aquaculture and allows intensification increasing production and profit. SPF stocks are fundamental for research purposes as the pathogen/disease variable is removed from experiments.

211 SPF is a fundamental strategy to the sustainability of shrimp farming (including extensive and semi-212 extensive systems with low/no biosecurity), with increasing evidence showing that they have reduced the 213 introduction of pathogens and disease expression in farms and provided a means for the safe introduction 214 of P. vannamei around the world - the species of choice and the dominant species in shrimp farming. 215 Optimizing the use of SPF stocks will secure sustainable and healthy production. However, accessibility of 216 SPF seed to smallholder shrimp farmers is still a challenge in many countries, due to its availability and 217 affordability. We believe, with the wider acceptability and use, demonstrating significant economic benefits, 218 will improve the use of SPF seed in smallholder shrimp farming in the coming years.

219 Developing and maintaining SPF stocks imply a significant technical and financial challenge. Our current 220 knowledge is very much limited to few species and the application of SPF technology in currently mass-221 produced species will need significant discovery research and investment. Demonstrated benefits of 222 applying SPF principles and technology in shrimp aquaculture for producing healthy seed have prompted 223 research into "SPF Fish" by several companies and research groups. Invention of healthy "SPF Fish", 224 targeting for what we call "people's fish" (carps, tilapias and catfishes), accessible and affordable to 225 smallholders, will offer significant opportunities and benefits in the future for improving biosecurity in 226 global aquaculture.

227 Emergency Preparedness and Response

Emergency preparedness has, traditionally, been developed based on experience from unprepared, or incompletely planned, response to aquatic organism disease emergencies. This is not, however, a model

that is economically sustainable and that also undermines confidence in aquaculture development. Learning

from these experiences is important. Pre-emergency and contingency investment in insurance, especially for high value species, has become a welcome trend.

In an emergency, all hands work together, but also learn each other's weaknesses and strengths. If the postmortem does not build on that learning process, it is destined to be repeated for the next disease outbreak. Disease management success defaulted to pre-disease emergency 'status quo', rather than investment in preparedness to ensure the next outbreak response would be more effective. Transparent reporting is of utmost importance. However, there is no longer a plausible excuse, with so many international examples as well as guidelines, audit system and shared experiences, to avoid investment in prevention strategies and infrastructure, which can offset the much greater cost of repeated response/emergency approaches.

Challenges encompassing technical, resource management, public relations, communication, information management and endurance will always be there and these need to be curbed. Dealing with such emergencies require the following: (a) speed of response, decision-making and action; (b) systems of information management and communication; and (c) good science. The overall objective must be to minimise the risk of disease entering a country; maintaining alertness or vigilance will be essential to achieve this.

- 246 Following investments are essentials to reduce losses from aquatic animal disease:
- Prevention strategies and back to basics: good aquaculture and best biosecurity practices at farm level
- Contingency plans to guide operational and technical response actions to emergency events including
 emergency preparedness response system audit.
- Education of risks at all levels including at the farm level to support timely assessment of the threat
 from new or expanding species.
- Surveillance programmes and diagnostic service provision at local level to detect and identify the emergence and spread of diseases.
- Proactive and transparent reporting of serious disease outbreaks for early warning.
- Enhancing the skills and knowledge of local front liners including dry-run or table-top exercises.
- Emergency preparedness as a core function of government services; thus, the need for legislation and
 commitment, and co-management of outbreaks and MMEs as shared responsibility of both state and
 non-state actors; and
- Advance financial planning towards allocation of emergency funds.

260 Diagnostics

261 Advance molecular biological research efforts allowed better understanding of pathogen biology, 262 pathogenicity and behaviour which resulted in better and rapid diagnostic procedures and tests. However, 263 molecular techniques have limitations in terms of disease diagnosis capacity and assessment on the viability 264 of the pathogens for risk assessments (i.e., in aquatic products or aquaculture feeds). Molecular techniques 265 have largely displaced tissue-based techniques (histology or wet mounts) that generate much more 266 information and allows a suitable interpretation of the health status and disease process. The shift towards 267 molecular techniques and the near abandonment of the tissue-based technique has resulted in the loss of 268 diagnostic accuracy and proper response. Further, making these techniques readily available and affordable 269 to smallholder producers is a challenge. There is a need to rebuild capacity on these techniques at 270 government, academic and industry levels. Until we have molecular technics accessible and affordable to 271 smallholders and remote producers, we should continue to use basic tissue-based techniques and building 272 diagnostic capacity with properly trained fish health professionals should be considered priority.

Most molecular tests and tools are very much focus on commercially important high value species. The development of affordable, easy, and rapid diagnostic tests for mass produced freshwater species are equally important. In the field of diagnostics, what is currently lacking and not given priority for development is accurate and affordable farm-level diagnostic tools for diseases and pathogens of mass-produced freshwater species such as carps and tilapia. Though these species rank high in global production, silent mortalities due to undetected and unrecognised diseases are causing considerable production losses.

Molecular diagnostic technologies based on sequencing with the capacity to detect nucleic acids (DNA, RNA) of taxonomically diverse agents (from RNA viruses to metazoan parasites) are now in regular use.

281 Conceptually, these approaches may propel not only our ability to detect more diverse pathogenic agents 282 present in animals and the environment (eDNA) but also, will challenge the single-agent/single-disease 283 paradigm and lead to a wider acceptance that 'pathobiomes and pathomicrobiomes' (mixtures of agents 284 present in specific disease states) may underpin observations of clinical disease in both wild and farmed 285 aquatic animal populations. Sequencing technologies also provide the capacity to profile symbionts in hosts 286 where clinical disease is not observed. Linking host biome profiles of healthy populations and those 287 suffering high levels of disease to broader environmental (e.g., climate, season, farming system) and host 288 (e.g., genetics, feed type) metrics will provide a potentially powerful approach for defining those on-farm 289 conditions which best support successful outcomes.

290 Proper disease diagnosis needs to consider the culture conditions and management practices prior to the 291 disease outbreak. Sending samples to diagnostic laboratories that do not grasp this type of information lead 292 to wrong diagnosis and a misleading emphasis on bacteria as primary pathogens with the subsequent misuse 293 of antimicrobials. Farm level health management can be improved through enhancing the capability of 294 individual farmers to carry out health checks on their farms in "real time" (i.e., within a time frame that 295 allows effective decision-making on therapeutic or preventative actions). Point of care diagnostics 296 (POCDs), or in simple terms "pond side diagnostics" are tests that are designed to be used on site to 297 provide rapid results without the need for dedicated laboratory facilities. They can facilitate decision-making 298 on the health status of animals without the delays associated with conventional laboratory testing. POCDs 299 can be used in investigation of disease outbreaks; in passive and active health surveillance for pathogen 300 screening; as an early warning system to prevent disease outbreaks; sanitary control points during 301 production and, in certification for animal movements.

302

303 There is a balance to be sought between response time, accuracy, and cost. Performance or errors of low-304 cost devices may be due to manufacturing quality, e.g., leakage of chemicals between plastic compartments 305 in some lateral flow systems. By contrast, the higher costs associated with the build of more sophisticated 306 diagnostic instrumentation that may be offset by more reliable diagnosis. For all these objectives, there is a 307 paramount need for continual communication, training, and education in the interpretation of diagnostic 308 results based on the technique used. In the absence of pond side diagnostics and health management 309 support, there may be losses due to treatment failures (incorrect diagnosis, wrong medicine and/or dose.). 310 Likewise, disease episodes follow when there is a failure to recognise a problem or, more commonly, when 311 there are lapses in biosecurity (i.e., excessive biomass, human nature to take short cuts; lack of disinfection 312 or health screening). In many cases, problems arise from failure in equipment (i.e., oxygenation, power, water exchange), lack of awareness and of failures in communication between managers and operators; lack 313 of training in the quality and detail of the information that is shared; when assumptions are made in technical 314 315 abilities without quality control (i.e., checking proficiency in the use and interpretation of analytical 316 equipment); and, in the lack of knowledge transfer within teams (i.e., knowledge is lost when there is a high 317 turnover of staff).

The Snieszko epidemiological triad that shows the interaction between a pathogen and susceptible environment that allows transmission of the pathogen and development of disease in a population and the three levels of diagnostics (Level I, II and III) long promoted by FAO remain valid and essential as a continuum of observations from the field to the laboratory and the overall environmental conditions to reach an accurate diagnosis of disease.

323 Microbial Management at Production Level

324 The implementation of biosecurity measures becomes more and more accepted as an essential part of 325 aquaculture farm management. Such measures range from pathogen exclusion to pathogen management 326 within the facility. An exclusion strategy targets the prevention of entrance and spread of primary 327 pathogenic microorganisms throughout the facility, and thereby target carriers that bring these pathogens 328 in and spread them over production cycles. Having efficient protocols and procedures in place - with 329 disinfection as a primary tool to kill/inactivate microbial pathogens – is an essential part of biosecurity. 330 However, it is not enough to minimize the risk for infectious disease to occur as opportunistic or secondary 331 pathogens may rise during the culture period. It should also be noted that many instances antimicrobial 332 agents are used without proper diagnosis, even for primary pathogen is or pathogens which could be a 333 virus, parasite or a fungus. This practice must be stopped.

It is still too little realized that microorganisms inevitably grow alongside the animals in aquaculture systems, independent of whether efficient biosecurity measures are in place to keep out specific pathogens. In fact, the major number of microorganisms in the culture systems results from growth during production. The stability and composition of these *in situ* microbial populations have a determining role in production success. For this reason, the management of the microorganisms that grow in the system is as important as the biosecurity measures in place to keep pathogens out.

340 The perception of microbial management in aquaculture nowadays still exists almost exclusively out of 341 "using disinfection to kill bad bacteria" and "using probiotics to add good bacteria". According to the 342 definition, probiotics are live microorganisms which, when administered in adequate amounts, confer a 343 health benefit to the host. One of the main reasons why farmers use them is because these "good microbes" 344 contribute to achieving control over the "bad microbes". The practice of adding probiotics to the feed and 345 the culture water is now well-established in the field, and most often as the only microbial management 346 approach during production except for recirculation system where ozone and UV are often incorporated. 347 Despite the recorded benefits conferred to farmers, probiotics are currently still applied in a simplistic way. 348 The practice does not fully take the ecological reality of all microbial populations growing in aquaculture 349 systems into consideration, and for that reason cannot be expected to work to its full potential. It is to be 350 concluded that the potential benefit of microbial management is only marginally exploited.

351 Traditionally, a key objective of farming activities is to keep water and feeding conditions optimal for the 352 cultured animals. A similar way of thinking is to be applied to the microorganisms that reside in the same 353 system, i.e., make the conditions optimal for benign microorganisms. In other words, microbial 354 management in aquaculture systems should target the establishment of water and feeding conditions (or 355 regimes) that select for specific benign populations of microorganisms. The water and feeding conditions 356 referred to are for example nutrient levels (C, N, P, etc.), water exchange rate, temperature, etc. This is 357 conceptualized by the r/K selection strategy that has been proven effective for fish and shellfish larviculture 358 and recently also for shrimp grow-out systems. By installing r/K selection regimes in the culture system, 359 the competition specialized bacteria (called K strategists) are promoted at the cost of fast growing – often 360 opportunistic - bacteria (called r strategists). The result is a more diverse, stable, and improved microbial 361 community in the water dominated by neutral and beneficial microbes, which lead to beneficial 362 fish/microbe interactions and more stable production.

363 remains however unclear up to date how specific microbial selection regimes impact culture It 364 performance. From anecdotal data and empirical observations, however, it can be concluded that some 365 types of systems appear to be less affected by unpredictable diseases, as illustrated by the following two 366 examples. Shrimp and especially marine fish cultured in recirculation systems where the biofilter plays a 367 crucial role in increasing the microbe to substrate ratios (i.e., more competition among microbes) seems to 368 be less prone to unpredictable losses. Alternatively, integrated farming practices such as zero water 369 exchange intensive shrimp farming whereby the effluent of the shrimp ponds is recirculated through tilapia 370 and seaweed ponds before returning to the shrimp ponds seem to have a similar effect. It remains to be 371 shown to what degree these system configurations indirectly are a microbial management practice that 372 results in improved performance.

One of the main impediments to the more broad and efficient use of microbial management in aquaculture is the lack of knowledge regarding how microbial communities develop and behave in aquaculture, and how this is affected by the different types of selection regimes or manipulations that are being done during culture. More research on microbiome composition and functioning is needed here. When we have adequate knowledge, the Good Aquaculture Practices (GAP) should be updated with more targeted microbial management protocols.

379 Disease and Pathogen Surveillance

While some progress exists with regards to aquatic disease surveillance, many barriers remain to the development of surveillance systems that support effective national and farm biosecurity. Although the awareness has been increased and efforts have been made, aquatic animal health services are inadequate in many geographies. Trained and qualified professionals for designing and conducting surveillance programmes are lacking and there may also be a weak system of regulation by the Government Competent Authorities (CA). Consequently, national surveillance systems are also often weak, and their principal

outcomes not achieved. Specifically, many countries are unable to meet OIE surveillance standards necessary to demonstrate and maintain a disease-free status, preventing them from taking full advantage of the system, established by the Sanitary and Phytosanitary (SPS) agreement of the World Trade Organisation (WTO), to minimise the spread of disease via trade. Delayed detection of disease outbreaks due to weak surveillance results in higher response costs to control outbreaks or, typically, disease establishment and rapid spread. Improving surveillance and biosecurity requires strengthened Government services and regulation.

The porous interface between many aquaculture production systems and natural or wild aquatic animal populations is a principal driver of disease emergence and spread in aquaculture. Further interactions arise from the use of wild aquatic animals as broodstock or from unprocessed aquatic animal products used as aquaculture feed. Disease surveillance illuminates the pathogen diversity within wild aquatic animal populations and the potential risks for pathogen transfer between wild and cultured stocks. These insights are especially important in regions where new aquaculture ventures are planned.

399 The relationship between investment in surveillance (e.g., for early detection of disease incursions) and the 400 costs of intervention to mitigate disease need to be integrated through economic modelling into aquatic 401 animal health decision making. This needs to be done both at national and farm levels. Passive surveillance 402 systems will make a step change when designed around the needs of the data providers (e.g., farmers). 403 Barriers to data procurement will be overcome as web-based and mobile interfaces become standard and 404 will greatly contribute towards farmer centric systems. Improved understanding of the balance between 405 privacy and access to potentially commercially sensitive production information is needed to support health 406 surveillance and research into aquatic animal health. This will be achieved through innovative data 407 governance approaches and closer collaborations between producers, government, and researchers, 408 building on animal health governance models already being established in some countries.

Enhanced data collection, compilation, integration, and analysis, based on real-time mobile and automated data capture systems and farmer-centric approaches, will progressively address the challenge of access to surveillance data. This in turn will create new challenges for data analysis (and quality assurance), requiring epidemiological and statistical tools capable of prioritising and linking different sources and vast volumes of data, and simultaneously dealing with a myriad of complex risk factors. In turn, the improved understanding of disease risk factors will allow risk-based surveillance to become more widely applied and better focused, improving the economic efficiency of surveillance.

There will be an increasing role of economic analysis of disease surveillance, early detection, disease control and eradication programs. Both government and industry decision-makers will demand improved objective and reliable and accurate evidence for the return on investment for biosecurity, surveillance, and disease control programmes.

420 Disease Reporting and Trade

Reporting of aquatic animal diseases serves two main functions, one related to trade and the other related to controlling disease The World Trade Organization's SPS Agreement recognises the importance of disease reporting as "...Part of a multilateral framework of rules ... [applied to] ... sanitary and phytosanitary measures in order to minimize their negative effects on trade." The WTO has appointed the OIE as the reference organisation to develop standards and guidelines for animal health with the intention of ensuring that animal health is not used unfairly as a technical barrier to trade.

427 These dual objectives (disease control and trade) place a great strain on the disease reporting system as they 428 can frequently be in conflict. Reports of new diseases, or occurrences of known diseases, in one country 429 can lead to trade barriers being erected by other countries to avoid introduction of the pathogen(s) involved. 430 Such barriers are not always erected fairly, for example when the pathogen is already present in the 431 importing country. Aside from being contrary to the SPS Agreement and OIE standards, this provides a 432 clear disincentive to countries or producers to report disease outbreaks.

An effective disease reporting system requires transparency. However, since reporting is largely through
 the relevant national Competent Authority, it can be subject to wider political considerations. In some

435 countries it is an offence to report diseases except through official channels or there may be "self-436 censorship" of reporting to avoid negative consequences.

The cost of establishing a national system of reporting and testing is significant and must be balanced against other development goals. Despite several initiatives over the past 20 years, many countries still lack the expertise, capacity, and infrastructure to operate effective disease reporting systems at the national level for terrestrial, much less aquatic, animals. This limits the effectiveness of international disease reporting and the potential trade consequences of reporting further reduce the incentive to prioritise investment in

442 reporting, despite the potentially high cost of domestic disease losses alone.

443 The OIE reporting system is largely restricted to OIE-notifiable diseases and new or emerging diseases. 444 Emerging diseases must be managed on inadequate data; however, methodologies exist to avoid always 445 reverting to the precautionary principle whilst maintaining acceptable levels of risk. The OIE list of 446 notifiable diseases is the standard for international reporting. However, it suffers from some drawbacks as 447 a means of controlling the international spread of disease viz. emerging diseases could spread significantly 448 before they are listed; and although the list is reviewed twice a year, de-listing of diseases that no longer 449 have major significance can be slow. Although the requirements and the process to meet a pathogen/disease 450 to be listed as notifiable by OIE is robust, in order to achieve the true benefits, the listing process needs to 451 be significantly accelerated.

451 De significanti y accelerated.

452 Alternative reporting systems such as the FAO/NACA/OIE Quarterly Aquatic Animal Disease Report

453 also includes information on diseases that are important in the region but not listed by the OIE. However,

454 it suffers from many of the same weaknesses as the OIE reporting system, viz. dependence on the capacity,

455 competence, and transparency of the individual countries.

As previously mentioned, one of the negative outcomes of disease reporting is the imposition of trade barriers by importing countries. Although there is some leeway in the event of a new or emerging disease, under the terms of the SPS Agreement, a science-based risk assessment is required to avoid unjustified barriers to trade. According to the OIE Code, "The principal aim of import risk analysis is to provide importing countries with an objective and defensible method of assessing the disease risks" associated with an import.

International reporting systems and the implications for trade have been complicated by the inclusion of aquatic animal "commodities" and "products" within the OIE Code. Testing commodities and products for pathogens, and actions taken as a result, appear to regard them as an equivalent risk to live animal movements. However, the likelihood of exposure should also be considered. For example, how probable is it that there will be uncooked waste, that the waste is infected, that it will reach susceptible species, that they will become infected or diseased and that they will transmit it to others.

Risk of disease spread with aquatic animals and products can be ranked from highest to lowest risk asfollows:



470

This is an important distinction as it is not consistent with SPS regulations to apply equal restrictions to products at both ends of the risk spectrum. Unfortunately, this is often the case.

473 Most reports of proven transfer of pathogens are via live aquatic animals imported for aquaculture, 474 ornamental fish trade, and stock enhancement. There are also risks from the unintentional movement of

475 live animals. For example, live animals from fouling and ballast water have largely been ignored even though

they have resulted in translocation of species and, presumably, their pathogens. Pathogens may also spread

stages can be widely dispersed. Fishing boats may travel extensively across international borders but thereis no scrutiny of fresh chilled or frozen fishery products.

From 1900 until 2004, when polymerase chain reaction (PCR) technology began to be widely used for testing of aquaculture products, enormous volumes of whole eviscerated fresh fish and fresh shrimp or shrimp tails (chilled or frozen) packaged for direct retail sale for human consumption were traded with no restrictions. The issues around PCR testing of products have been reviewed (2; 3) and during this time no

484 epidemiologically-sound reports have been published demonstrating disease transmission by this route.

485 The OIE Code recognises that live animals can be safely traded between countries with different disease 486 status using zoning and compartmentalisation Essentially, these define specific areas or common 487 biosecurity management that maintain freedom from specific diseases. This would allow trade between 488 zones and compartments of equivalent health status, or else from higher to lower health status, despite 489 differences at the national level. However, there appears to be little effort on the part of some countries to 490 certify or declare zones as being free of disease (and of others to accept the legitimacy of zones) resulting 491 in complete embargos against trade from the whole country regardless of the disease status of individual zones or compartments. In many of these cases, the country simply does not have the infrastructure in 492 493 place to do so but in others it is difficult to understand why a biosecure facility producing SPF animals and 494 certified free by the Competent Authority for 2 years or more cannot be declared and accepted as a zone 495 free of these diseases. Currently barriers to the movement of relatively safe aquatic animal products on the 496 pretence of biosecurity, is a major inequity in international trade. Rational independent evaluation of risk 497 analyses could provide the basis for a more equitable system.

The OIE Aquatic Animal Health Strategy launched in May 2021 is aimed at improving aquatic animal health and welfare worldwide in order to contribute to sustainable economic growth, poverty alleviation and food security to achieve the Sustainable Development Goals. Hopefully, this strategy can improve the implementation of international standards on aquatic animal health especially disease reporting.

502 Policies and Regulatory Frameworks

503

504 National strategic planning on aquatic health management and biosecurity is vital to reduce the vulnerability 505 of the aquatic sector to new and emerging diseases and the often ad-hoc and reactive solutions to serious 506 transboundary aquatic diseases and mass mortality events in aquatic populations. The FAO has long 507 encouraged member countries to develop and formalize National Strategies for Aquatic Animal Health 508 (NSAAH) and health management procedures. A NSAAH is a broad yet comprehensive strategy to build 509 and enhance capacity for the management of national aquatic biosecurity and aquatic animal health. It 510 contains the national action plans at the short-, medium- and long-terms using phased implementation 511 based on national needs and priorities. The technical elements that may be considered in the strategic 512 framework will vary depending on an individual country's situation, and thus may not include all the 513 programme elements (i.e. Policy, Legislation and Enforcement; Risk Analysis; National Aquatic Pathogen 514 List; Health Certification, Border Inspection and Quarantine; Disease Diagnostics; Farm-level Biosecurity 515 and Health Management; Use of Veterinary Drugs and Avoidance of AMR; Surveillance, Monitoring and 516 Reporting; Communication and Information Systems; Zoning and Compartmentalization; Emergency 517 Preparedness and Contingency Planning; Research and Development; Institutional Structure (Including 518 Infrastructure); Human Resources and Institutional Capacity; Regional and International Cooperation; 519 Ecosystem Health). Alternatively, additional elements/components may be identified as having national 520 and/or regional importance and thus need to be included.

521

522 Due to the negative externalities associated with aquatic animal diseases, there is an obligation on authorities 523 to implement national legislation related to biosecurity. This has initiated several international and 524 national/regional biosecurity frameworks. Frameworks connected to agreements, declarations, guidelines, 525 and policy plans. However, incomplete implementation of legislative and regulatory initiatives, inadequate 526 knowledge, and infrastructure (e.g., diagnostic capacity and quarantine facilities) –lack of industry 527 motivation and collaboration are factors that have reduced the effectiveness of biosecurity as a tool to 528 control, spread and impact of infectious diseases. Interestingly, most international, and regional biosecurity

- frameworks (57%) do not demand compliance, and all others (43%) require compliance only when ratified
 by a nation state.
- 531 Diseases in farmed aquatic animals are economic and environmental challenges to society and as such, 532 aquatic animal health should be considered as a public good. Ideally, national legislation should therefore
- 533 equally protect the interest of the various stakeholders and include general factors like:
- designation of Competent Authority(-ies) with clear delineation of responsibilities;
- a national list of pathogens/diseases included in the specific legislation;
- farm certification based on biosecurity national standards (e.g., national or international certification
 schemes), which includes obligations to maintain a biosecurity plan and record keeping (e.g., medicine
 use and live animal import and movements);
- registration and authorization system for veterinary drugs, inspection, and surveillance;
- a national record on farm characteristics;
- protocols on import procedures and requirements;
- emergency disease awareness and response capability;
- 543 programmes on disease surveillance;
- availability of appropriate veterinary field and diagnostic services; and
- compulsory education and training.

546 In the absence of regulatory frameworks, farmers can decide to "push the system" to their convenience 547 causing direct stress on the cultured species and greatly increasing the risk of disease outbreaks. One 548 solution to the absence of regulatory measures, can be through farmers cooperating to create, where 549 possible, joint health plans and area management agreements. Thus, sustainable aquaculture with stable 550 production at a national level cannot be achieved without enabling regulation. However, the regulations 551 should be formulated through a consensus process via representatives of the government and all 552 aquaculture industry stakeholders in a consortium-like process. This is the most likely way to achieve a high 553 level of compliance.

- 554 However, it is important that the policing authority for adherence to regulations be clearly separated from 555 the authority responsible for education and training. In addition to stocking density, other compulsory 556 "product management practices" may include year class separation; synchronized production; "all in, all 557 out"; fallowing/dry out periods; frequent mortality removal; health and pathogen screening pre-movement 558 and, where appropriate and possible, systematic vaccination. The objective should be to move away from 559 the current treatment-focused culture to one of long-term management of proper biosecurity planning and 560 disease prevention, including disease surveillance and testing, vaccination and in genetic selection for 561 robustness or pathogen tolerance, etc, so that continual treatment is not necessary.
- 562 Legislation is of no value if it is not adequately enforced, followed up, and evaluated. Authorities, therefore, 563 must facilitate effective procedures for the industry to comply. Both the authorities and the practitioners 564 should be adequately trained and knowledgeable on the legislation and their enforcement and/or 565 compliance requirements. Dedicated responsibilities need to be acknowledged and placed on both the 566 authorities and individual enterprises, and through regional organizations and frameworks, linking the four 567 vertically integrated levels (international, national, local and farms) as well as horizontally between different 568 enterprises and relevant national authorities. Identification of risk posed to various sectors and type of 569 production will help implementation of tailored and bio-economical efficient investments in biosecurity 570 measures. This can only be achieved through close collaboration between industry and authorities, 571 respecting and understanding each other's interest and responsibilities. Efficient disease prevention and 572 control is a partnership approach that includes a common understanding and compliance of a basic 573 framework and transparency about relevant health threats. Due to the importance of transparency and 574 compliance to protect the industry, an agreement on incentives should be discussed between authorities 575 and industry and embedded in the legislation.

576 Fish Welfare

577 A generally accepted definition of the concept "animal welfare" does not exist. However, the following 578 three welfare dimensions are frequently cited: (i) Function-based: The animals can cope physiologically with

579 their environment and are in good health. (ii) Nature-based: the animals can lead natural lives, using their 580 natural adaptations. (iii) Feelings-based: animals should be free from prolonged or intense unpleasant 581 emotional states such as pain, fear, and hunger and have access to rewarding experiences such as social 582 companionship or comfort. In aquaculture, most accepted is the function-based welfare concept, as this 583 can be parameterized via production-related indicators that are often routinely measured such as animal 584 growth or water quality. However, the function-based concept reduces welfare to physical health and 585 excludes mental health, although there is growing evidence that at aquatic animals are sentient and possess 586 the awareness for positive and negative mental states. Animal suffering is also what leads to public concern 587 for farmed animal welfare. A conclusive answer to this ongoing controversial discussion is complicated by 588 the diversity of farmed aquatic species.

589 The linkage between biosecurity and welfare comes from the fact that welfare relates to the health and 590 disease status of the animals in a farm. Good welfare enhances an animal's ability to resist disease, while 591 poor welfare increases the susceptibility of the animals to infection and disease. Of course, it is overly 592 simplistic to assume that disease is invariably linked with the welfare status of the animals, but the welfare 593 status alters the risk of disease in a farm. The relation between welfare and biosecurity is bidirectional. If 594 animals get diseased because of pathogenic infections, this means an impairment of their welfare. Thus, 595 controlling entry of pathogens and other hazards, and combating diseases by efficient biosecurity measures 596 promotes the welfare of farmed aquatic animals. The design of an effective farm biosecurity program hinges 597 on an understanding of the factors that drive disease events to reduce the risk of infections and their adverse 598 outcomes. To date, focus is given to factors such as good hygiene, disinfection procedures or control of 599 pathogen spread, but future biosecurity programs should integrate management of aquatic animal health 600 and welfare.

To implement welfare as an integrated part of biosecurity programs, practical parameters and approaches to safeguard animal welfare are needed. On the farm, gentle handling, suitable environments, minimizing handling, using a feeding regime appropriate to the species, and the breeding of robust animals benefit welfare of the animals. During transport, it is essential to apply best handling practice and to ensure good water quality.

605 To assess the welfare status of the farmed animals, "operational welfare indicators (OWI)" are useful practical 606 tools. Usually not single indicators are used, but a series of parameters is measured and integrated. Efforts are 607 underway to develop automated systems taking advantage from digital technologies to continuously monitor 608 the welfare status of the farmed animals. The welfare indicators are, at least partly, species-specific and to date, 609 OWIs are available only for some of the over 200 main cultured species. Development of OWIs has largely 610 focused on high value species such as salmon, but more practical and robust welfare indicators are needed 611 also for species like shrimp, carp or tilapia which constitute the bulk of global aquatic food supply. It is 612 clearly a task of future research to identify OWIs and implement welfare assessment and management for a 613 higher percentage of the cultured aquatic species.

614 Research and Technology

615 It is evident that most aquatic animal health research, especially on diagnostics and health management 616 technologies, are focused on commercially important and international traded species. This skewed research 617 focus undermines the role of mass-produced species such as carps and tilapias for global food security and 618 nutrition. Therefore, it is critical to rectify this shortfall and address the research needs of the species which 619 provide livelihoods to many smallholders and contributing to global nutrition and food security.

The overriding consideration in the development of national R&D frameworks is who is the client. Recognizing that clients will be varied – from governments to large-scale industry, to start-ups and smallscale producers – it is understood that national R&D frameworks need to be holistic and responsive. It is therefore appropriate to consider the various components that would make up a national framework; with universities and government research stations being the classic components, and private research centres, company farms, colleges and schools providing additional input. Outlets for new knowledge also need to be considered – conferences, seminars, trade shows and demonstration sites.

627 The political and industrial clients of all scales will require different outputs, depending not least on the 628 level of development of the sector. In early-stage aquaculture sectors we should expect that the principal 629 clients may be government departments and small-scale producers who will need R&D systems that are 630 largely government/donor funded and provide outputs that are generally public goods, such as basic

631 production approaches, simple health management tools and formulae for basic feeds. Highly 632 commercialized industries will have an increasing amount of privately funded research, although some of 633 the outputs should still be public goods. As industries develop, government-funded research will likely shift 634 from technical solutions to developing effective, evidence-based regulatory tools. In these latter stages of 635 development, it is also important that government departments, that will have typically been the leaders in 636 research, become more open to accessing the outputs of commercial research, even for use in regulatory 637 systems.

Although many bacterial diseases are now effectively controlled using vaccines, viral diseases still present significant infectious disease challenges for the salmonid and marine finfish, and there are only a limited number of effective vaccines commercially available for these. Bacterial pathogens still present some major challenges for rainbow trout, carp, tilapia, and catfish as well as for cleaner fish e.g., for Ballan wrasse (*Labrus bergylta*) and lumpsucker (*Cyclopterus lumpus*), among others, which are currently being used for sea lice (*Lepeophtheirus salmonis*) control in Atlantic salmon production. Ectoparasites currently pose the most significant disease threat for the Atlantic salmon industry and there are no commercial vaccines available at

645 present for these or fungal diseases. More research efforts are necessary to address these knowledge gaps.

646 Over the coming decade, we should make special emphasis for addressing the following aspects of 647 biosecurity. It is deemed essential to create International Research Groups towards standardized 648 methodologies and to create global datasets to enable more effective research outcomes; and increase 649 efficiency, costs-effectiveness, and involvement of commercial sectors.

- Climate change impacts on disease
- Ecosystem-based management approaches
- Integrated pest/disease management approaches (e.g., sea lice)
- 653 AMU and AMR management
- AMR assessment and reduction
- 655 Anthropogenic impacts
- Marine environmental impacts including microplastics in mariculture
- Microbiome/pathomicrobiome studies
- Development and application of smart-biosecurity tools and techniques
- Modern technologies (digitalisation, automation, smart biosecurity, etc.)
- Best management structures (zones)
- Species-specific welfare (towards development of indicators)
- Genetic and epigenetic developments
- 663 Epidemiological analysis of disease
- Vaccination development and delivery

665

666 Antimicrobial Resistance (AMR)

The emergence of antimicrobial agent resistance (AMR) in bacteria associated with aquaculture and the aquatic environment is of concern for three main reasons:

- 1. It has a clear, obvious, and negative impact on the therapy of bacterial diseases of animals raised in this industry;
- 1. It has a potential negative impact on the therapy of bacterial diseases in consumers of the products of the industry;
- 672 673 674

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- 2. Also, as much of the AMR is encoded by transferable resistance genes, it will contribute to the total environmental resistance.
- 675
- 676 It should be noted that the uses of antimicrobial agents in human and terrestrial animal therapies also 677 contribute to this resistance that is postulated to be the main global reservoir of resistance genes.
- 678 The main driver of the emergence of AMR in bacteria associated with aquaculture and the aquaculture
- 679 environment is the use of antimicrobial agents (AMU) in this industry. It is clear, therefore, that the only 680 way that the emergence of resistance can be slowed down or balted is by reducing the number and volume
- 680 way that the emergence of resistance can be slowed down or halted is by reducing the number and volume

681 of antimicrobials used in the industry. The central question that must be addressed is how to achieve this 682 in an aquaculture industry while, at the same time, increasing the volume of its production?

683 With this aim in mind, it should be noted that the emergence of AMR strains is driven by all uses of 684 antimicrobial agents in aquaculture. Some of these uses may be appropriate and well deigned and given the 685 intensive nature of most aquaculture production systems, unavoidable. Others, however, represent the 686 misuse or inappropriate use of these agents. Attempts at reducing AMU and, therefore, the emergence of 687 AMR, should be initially focused on reducing the misuse or inappropriate use of these agents.

688 The industry uses antimicrobials to reduce economic losses resulting from bacterial diseases presumed to 689 be caused by bacterial infections. However, these diseases are rarely caused by bacterial agents alone. Their 690 aetiology is nearly always multifactorial with environmental and husbandry factors frequently playing an 691 important, and possibly dominant, role in the occurrence or severity of these diseases. Empirical evidence 692 has shown, that, in many situations, identifying and correcting these environmental and husbandry factors 693 before a bacterial infection occurs will significantly reduce disease incidence. The implementation of these 694 prophylactic preventative measures represents a rational and cost-effective approach to managing economic 695 losses. Their adoption would significantly reduce bacterial diseases and antimicrobial use and therefore the 696 emergence of AMR.

In attempting to limit the emergence of AMR it is suggested that national authorities should concentrate on developing educational programmes, support services and on the acquisition of good quality data. The central message of education programmes should be that the need to resort to antibiotic therapy should always be the consequence of a prior failure to implement good stock management, to maintain environmental quality and microbial stability, and to eventually adopt appropriate non-drug prophylactic procedures. Antimicrobial therapy should always be a last resort and not a first response. Sample educational messages might include:

704 "Prevention is better that treatment."

705 "Animals in a good environment have less infections than those in a poor environment"

"Bad husbandry causes secondary (opportunistic) pathogens to become virulent and more infections thanbacteria"

708 "Antimicrobial therapy cannot reduce losses resulting from poor rearing environments or bad husbandry"

709 The support services developed for the aquaculture industry must be based on an understanding that the 710 implementation of appropriate biosecurity measures start at the planning stage of a production cycle. 711 Support services must be designed to provide farmers with assistance and advice at all stages of a production 712 cycle and not be confined to crisis intervention after disease occurs. The provision of diagnostic services is 713 an essential component of support services. However, disease diagnosis must not be reduced to pathogen 714 identification. Reliance on pathogen identification alone, with insufficient attention being given to other 715 contributing environmental and husbandry related factors, will lead to an overemphasis on the bacterial 716 component of the disease aetiology and to an excessive and possibly inappropriate recommendation for 717 antimicrobial use and appropriate microbial management.

718 In the past the value of the studies of AMR that have been performed has been seriously limited by the 719 lack of international harmonized standard methods for performing susceptibility tests and the lack of agreed 720 consensus criteria to interpret the meaning of the data generated¹. In recent years, however, significant 721 advances in the awareness on AMR has been made in many countries, and few are even implementing 722 surveillance programmes for AMU and AMR in both aquatic and terrestrial farmed animals. The current 723 situation is that standard methods now exist for susceptibility testing of most of the species frequently 724 isolated from aquatic animals². National authorities should encourage and actively promote the adoption 725 of these standard methods. There is still a lack of consensus species-specific interpretive criteria for many 726 species, but these are relatively easy to generate.

The need to continuously understand the threat of AMR and its avoidance should be pursued. Source attribution of AMR in aquaculture associated bacteria is very complex and caution needs to be exercised in the interpretation of data. Mere detection of AMR in aquaculture systems does not imply misuse of antimicrobials in aquaculture. The direct link between the resistance profile and AMU needs to be clearly
 established as AMR may be naturally present in the aquatic environment or derived from AMU in other
 sectors or derived from AMU in aquaculture.

733 Since the adoption of the Global Action Plan (GAP) on Antimicrobial Resistance (AMR) during the 68th 734 World Health Assembly in 2015, commitments to support the GAP were obtained from Members 735 attending the OIE's 83rd General Assembly and the 39th FAO Conference in 2015. This support included 736 the development of National Action Plans (NAPs) on AMR. The OIE Strategy on AMR and the Prudent 737 Use of Antimicrobials (2016) and the FAO Action Plan on AMR (2021-2025) are useful instruments to 738 guide countries in the development of the NAPs especially the aquaculture component and integrated into 739 the country NAP under the One Health platform. Aquaculture biosecurity and AMR may be complex and 740 are driven by many interconnected factors. Single, isolated interventions have limited impact. Greater 741 innovation, research and investment are required in surveillance, maximum residue limits, new 742 antimicrobials, vaccines for low value species, other alternatives to antimicrobials and diagnostic tools. 743 Aquaculture producing countries need to develop the aquaculture component and integrate to country 744 NAPs.

745 Non-conventional Ways of Pathogen Transfer

746 Conventional ways of pathogen transfer and the opportunities for mitigation have been given ample 747 coverage over the years. Need for application of risk analysis and epidemiology to identify common and 748 often unexpected risk factors leading to disease transmission has been duly considered. However, aquatic 749 environments are biologically connected through complex hydrodynamic regimes and associated ecological 750 processes, thus these connections disregard human-made administrative borders and allow natural dispersal 751 of Harmful Aquatic Organisms and Pathogens (HAOP)⁴ between ecosystems. However, the massive 752 development of anthropological activities in aquatic environments and particularly at sea disturbs 753 ecosystems and increases the rates of transfers of HAOP beyond their natural habitats. HAOP may settle 754 and reproduce beyond control to become pests in areas outside their original geographical distribution. The 755 successful transfers are exacerbated by the changes in natural habitats (global warming, physical 756 barrier/habitat destructions), facilitating and increasing species' direct transfer across natural boundaries.

757 Unlike pollutions⁵, HAOP often exhibit robust biological traits and can reproduce over time which makes 758 their eradication almost impossible. This makes HAOP a challenging hazard to manage. Bio-invasions are 759 seriously impacting aquatic ecosystems which are used by multiple industries, including aquaculture and 760 fisheries. Therefore, science-based policies to protect marine ecosystems and the communities living on 761 them must consider the specificity related to the risk of transfer and spread of HAOP.

762 Some concerns emerged about ballast water management's efficiency to ensure the high level of biosecurity 763 required to sustain aquaculture development and manage pandemics' risk. The compliance to shipping 764 regulations is driven by statutory documentation such as type approval of the equipment and regular surveys 765 from administrations (or recognized organizations acting on their behalf). Unfortunately, annual surveys 766 related to the Ballast Water Management (BWM) Convention do not require any verification that the water 767 discharged from ships meet the limits set forth by the Convention. However, one country (the United States 768 of America), not party to the BWM Convention but conversant with the issue, unilaterally decided to 769 monitor the ballast water discharged from vessels operating in its waters through the Vessel General Permit 770 (VGP 2013) and regular testing is recommended by most experts.

771 While the shipping industry finances costly installation of Ballast Water Management System (BWMS), it

seems inconsistent not to require initial and regular verification of the equipment's capacity to meet the standards. Without such analysis, it is impossible to evaluate whether biosecurity risks are successfully

774 managed globally. From multiple testing carried out during the commissioning of new installations onboard

⁴ Wording established by article 1 of the International Convention for the Control and Management of Ships' Ballast Water and Sediments, 2004 (International Ballast Water Management Convention or BWM Convention)

⁵ The United Nations Convention on the Law of the Sea distinguish 'pollution of marine environment' ("[..] the introduction by man, directly or indirectly, of substances or energy into the marine environment [...]" article 1 #4) from biohazards (article 196).

775 vessels, it has been found that about 20% of ships failed to meet the discharge objective of the Convention. 776 To address questions raised by unmatured technology and practices, the International Maritime 777 Organization (IMO) has initiated the experience-building phase. During this period, the maritime 778 administrations are urged to collect data from their fleet and submit information about ships' capacity to 779 manage ballast water to evaluate the needs and initiate amendments to the BWM Convention.

780 Another complex shipping issue is HAOP transfer risk through biofouling associated with the submerged 781 hull of vessels. The biofouling process begins after immersion of the ship/structure in water. Countries 782 such as Australia and New Zealand demonstrated the impact of biofouling on the marine environment. 783 They urged the IMO to respond to this global threat by promoting quality anti-fouling systems and regular 784 underwater cleaning of ship hulls and niche areas. Consequently, the IMO developed the Guidelines for 785 the Control and Management of Ships' Biofouling and the Guidance for Minimizing the Transfer of 786 Invasive Aquatic Species as Biofouling (Hull Fouling) for Recreational Craft. As these legal instruments are 787 non-binding, they have limited impact, and there is no global enforcement regime. However, the adoption 788 of an IMO Convention on vessel biofouling may be possible in the future and would support a coordinated 789 effort to manage this issue.

Managing the risks of transfer of HAOP requires robust risk assessments able to integrate global changes. When taking the ecosystem connectivity and dynamics into account, risk assessments can better estimate the natural dispersion of HAOP. Indeed, the natural spread of HAOP is driven by hydrodynamic connectivity (physical), the capacity of organisms to swim (nekton), and the biological traits and tolerances of species (capacity to survive and strive in a different ecosystem).

795 One of the methods to assess such risk is based on particle tracking modelling combined with ecological 796 modelling (agent-based modelling). This approach may also allow estimating the extension of the indirect 797 spread of pathogens from the presence of floating or immersed particles (e.g., marine litter, plastics) covered 798 with biofouling. Indeed, such marine debris or elements may act as shuttles to increase the "natural" spread 799 of pathogens through currents. This is even accentuated by the presence of sensitive zones created by 800 ecological stresses, including those made by global warming. Such modelling approaches to dissemination 801 have been proposed as part of the Guidelines G7 of the BWM Convention to support ship exemption 802 when the risks of species transfer (from ships) between specific ports are considered limited compared to 803 that driven by natural dispersion.

Considering the complexities of global economies and their reliance on stable ecosystems, governments' efforts to work towards zero hunger should not be negatively impacted by sub-optimal compliance monitoring and enforcement programs. Therefore, the regular assessment of the numerous industries discharging directly or indirectly into the aquatic ecosystems is necessary, as well as the continuous monitoring and development of control to address secondary natural dispersion of HOAP related to global changes.

810 Progressive Management Pathway (PMP) Approach

811 As mentioned before, approximately every three to five years, a previously unreported pathogen that causes 812 new and unknown disease will emerge, spread rapidly, crossing national and international borders. A long 813 period usually elapses before the pathogen has been identified, host range determined, pathology 814 understood, global awareness and effective disease containment and management measures established. 815 This enables spread and establishment in new areas and previously unexposed populations (wild and 816 farmed). The socio-economic and environmental impacts of disease outbreaks in aquaculture can be 817 substantial, including reduced food availability, temporary or permanent business closure and loss of 818 employment, including upstream and downstream value-chain industries. Production losses due to 819 mortalities and slow growth, decrease trade, and markets may be lost due to bans on exportation, public 820 concerns over food safety and the costs required to manage the disease (biosecurity measures, treatment, 821 vaccines, compensation, eradication, etc.). Repeated outbreaks of new diseases, with high economic losses, 822 reflect an immature aquaculture industry.

A good understanding of the factors and pathways leading to exotic and endemic diseases is necessary.
They are grouped into four, namely:

- *trade in live animals and their products*: aquatic animals (and aquatic plants) are food commodities that are
 traded globally; in the absence of adequate national biosecurity, pathogens may be transferred
 alongside with host movement.
- *knowledge of pathogens and their hosts:* knowledge about new pathogens (pathology and transmission routes), susceptible hosts (species, life stages affected, immunity, genetics), diagnostics (specific, sensitive, and rapid) curtails the fast development of the sector and the large number of species reared under varied farming systems; slow collective awareness of new threats show complacency during periods of no outbreaks.
- *aquatic health management and disease control*: limited or absent institutional and technical capacities,
 including enforcement and implementation of international standards and guidelines for biosecurity
 best practices, coordination between the multiple institutions involved in aquaculture production and
 aquatic health management, and capacity for response to emergencies, all impede application of
 effective health management and biosecurity measures.
- *ecosystem changes*: aquatic ecosystems are dynamic, changing through direct human activity (e.g., dams, pollution, shipping, new species introductions) and non-human impacts (climate change, weather extremes, algal blooms, etc.). Under such dynamic conditions, aquaculture is limited by the physiology of the animals, emergence of opportunistic pathogens, and changing geographical ranges of wild stocks, microbes, and parasites.
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Ineffective biosecurity is the main challenge impacting aquaculture development over the last three decades.
While many efforts have been exerted by national competent authorities, industry, and the academe, as well
as regional and international entities, and development institutions, successful biosecurity practices have

not been properly implemented in many areas, so actions have been reactive or *ad hoc*, which is significantly

848 more costly than investment in preventative measures.

849 Four stages of the PMP for improving aquatic biosecurity are as follows:



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851 The Progressive Management Pathway for Improving Aquaculture Biosecurity (PMP/AB) was developed 852 by FAO and partners, as a 'paradigm shift' after analysing the pathways and factors to disease emergence 853 and seeing a need for strategic planning to further guide and support countries towards achieving 854 sustainable aquaculture biosecurity and health management systems. The PMP/AB is an extension of 855 FAO's "Progressive Control Pathways" (PCP) approach, which has been internationally adopted to assist 856 countries develop risk mitigation strategies that reduce or prevent losses from major livestock diseases. 857 However, whereas most PCPs focus on control of specific diseases, the PMP/AB focuses on diseases faced 858 by aquaculture at the commodity and enterprise level. The PMP/AB uses a comprehensive, holistic approach to improving aquaculture biosecurity and supporting sustainable development. 859

The PMP/AB is progressive, collaborative, and risk based. The four stages of PMP-AB involve strong
public and private stakeholder input to promote the application of risk management at the sector level as

862 part of a national approach. Countries decide the appropriate entry-point, how far and how fast to progress 863 to the next stage. Due to the wide variation of species farmed in each country, aquaculture sectors may 864 advance independently, at different speeds or with different goals but a common requisite is strong 865 cooperation between government and industry, such as a public-private sector partnership (PPP). This is 866 necessary to ensure clarity on roles and responsibilities, identify key gaps requiring improved capacity and 867 infrastructure, and increase awareness of the cost/benefits of biosecurity along the whole value chain. Risk 868 analysis is a key aspect of all stages of the PMP/AB. Risk hotspots (critical control points) are identified for 869 biosecurity investment (training, diagnostic capacity, etc.). All this feeds into development of a National 870 Strategy on Aquatic Animal Health (NSAAH) or national aquaculture biosecurity strategy, which sets he 871 foundation for ongoing review and updating as the industry develops.

Stage description	Key Focus	Key Outcomes
Biosecurity risks defined	 National strategy that has confidence and support of the stakeholders (private and public) and common agreement on a long term vision Principal hazards and risks that affect aquaculture health and production: exotic, endemic, emerging diseases (known and unknown); map risks and gaps, identify negative impact on ecosystem Strategic Biosecurity Action Plan which will be the 'gateway pass' to enter Stage 2 	 Stakeholders are identified and production systems, marketing network and associated socio-economic drivers are well described and understood for aquaculture sectors (value chain analysis) Threats to aquaculture and biosecurity vulnerabilities are identified and described Risk hotspots and critical control points are identified through risk analysis An enabling environment for aquaculture biosecurity is reviewed and developed Risk-based strategies are developed and endorsed at sector and national levels (<i>Gateway Pass</i>)
Biosecurity systems	 Implementation of a Biosecurity Action Plan in specific sectors/compartments Co-management is expected to continue and strengthen the implementation and the improvements Should this stage move forward additional biosecurity efforts at ports and borders must be included Countries will need: evidence Strategic Biosecurity Action Plan implementation, and commitment through a National Biosecurity Management System in order to enter Stage 3 	 Risk-based strategies developed in Stage 1 are implemented by public and private stakeholders Management of biosecurity vulnerabilities and occurrence of important pathogens is monitored Evidence exist that the implementation of the risk-based strategies strengthens biosecurity and reduces the impact of the pathogens within aquaculture sectors Enabling environment is further developed, with enhanced co-operation between public and private sectors Risk-based strategies are enhanced and revised, based on evidence (<i>Gateway Pass</i>)
Biosecurity systems and preparedness	 Zoning, restrictions of movement and reporting of any disease/emerging problems through constant surveillance should be in place Once the management system is found to be capable to sustain the Aquaculture health by defending and maintaining specific disease freedom it can move forward to Stage 4 	 Revised risk-based strategies are implemented Exotic, endemic, and emerging pathogens under continuous surveillance Disease incidence and impact are reduced Enabling environment is strengthened Demonstrated commitment, including investment, from public and private stakeholders to safeguard progress (Gatewa Pass)

872 Key focus and outcomes of the four stages of the PMP:

Stage 4: Sustainable biosecurity and health management systems established	 End stage - achievement of a Sustainable and Resilient National Aquaculture System acquired through the capacity to maintain confidence, biosecurity system, emergency preparedness and preventive measures All these activities must be co- ordinated and maintained 	 Risk management activities are sustained and improved based on evidence Enabling environment is maintained and continuously improved Robust socio-economic situation for all (including small-scale producers, food security) National and international stakeholders have confidence in national aquaculture
		and ecosystem health

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874 The benefits of the PMP/AB can be summarised as follows:

It builds on management capacity using bottom-up and top-down approaches, is evidence-based and supported by transparent and ongoing review. The co-management approach ensures problems are clearly defined and management solutions have common understanding and buy-in.

• The PMP/AB provides a degree of consistency between participating countries or regions that is essential for reducing risks from trade, as well as addressing biosecurity-related trade challenges.

• The PMP/AB provides a framework that is adaptable and can respond to changes in aquaculture production scope and objectives (small to large-scale; local to international industries), as well as to environmental and anthropological changes that impact aquaculture production.

883 As countries and aquaculture enterprises advance along the pathway, the following outcomes are expected: 884 reduced burden of diseases, improved aquatic (organism and environmental) health at the farm and national 885 levels, minimized international spread of diseases, improved socio-economic benefits from aquaculture, 886 increased investment in aquaculture, and achievement of One Health goals; all of which provide benefits 887 at the enterprise, national, regional, and global level. Recognizing the importance of aquatic plants as 888 contributor to food and wealth, the seaweed sector is included in the PMP/AB discussions. PMP/AB is a 889 work in progress and is at an advanced stage of development; and guidance documents and other toolkits 890 are being prepared. It has been welcomed, endorsed, and supported by the FAO's Committee on Fisheries 891 and the Sub-Committee on Aquaculture during its 10th and 34th sessions, respectively.

892 Future

Aquaculture is a very dynamic, complex sector. It encompasses diverse production systems in open and closed water systems in marine and freshwater environments. Both low and high value species are cultured, produced at small and large scales, and are consumed locally or traded internationally. More than 500 species are produced in aquaculture (compared to more than 150 in terrestrial agriculture), the culture of each species has differing risks. Addressing these risks poses a great challenge that requires collaboration, innovation, and investment. Strong political will and concerted international action and cooperation and significant resources are required in addressing biosecurity.

900 Although the aquaculture sector suffered from pathogen incursions and disease outbreaks causing 901 significant production losses and revenue, to-date, evidence-based knowledge on economic (and 902 subsequent social) impacts of diseases in aquaculture is still lacking. FAO is currently calling for concerted 903 action to improve global knowledge on socio-economic impacts of diseases in aquaculture, and once 904 achieved, will a tremendous contribution towards designing and implementing efficient strategies for 905 improving global aquatic biosecurity.

906 It is important to stress that many of the current aquatic animal health challenges faced by the different 907 aquaculture industries (systems and practices) are part of the natural sequence of knowledge generation and 908 development and are not solely due to intentional malpractice or a resistance to comply. In looking to the 909 next decade and with the expansion of aquaculture, there is a need for ongoing adjustments to good 910 aquaculture practices (GAP) with the establishment of regulatory frameworks setting benchmark 911 requirements and the production of SOPs for use at the farm level for each cultured species. This will 912 include, for example, improvements in animal welfare standards (e.g., setting of guidelines regarding

913 stocking densities; mandatory procedures for the transfer of animals, including animal movement 914 documents; in the practice of non-ablation in commercial shrimp production; in water quality and 915 management of the culture system etc); in health surveillance and certification throughout production; in 916 vaccination and therapeutic treatments as part of long-term management; in seed and broodstock quality; 917 in new protocols for appropriate microbial management (e.g., preventing secondary pathogens to express 918 virulence); in tight codes of practice regarding wastewater treatment and management; and, in the 919 traceability of stocks. All of which should operate within regulatory frameworks, which are enforced by 920 law, to support production, to decrease disease-related losses and in helping the drive towards greater 921 sustainability. National and farm-level biosecurity practices should include risk analyses with the 922 implementation of control points in the production chain to reduce the likelihood of disease events and/or 923 their spread.

924 While aquaculture moves towards precision farming, the current requirement is not necessarily to move to 925 systems employing greater technological complexity and high-tech analytical tools generating vast amounts 926 of data that need parallel platforms for their analysis and interpretation, but rather to have frameworks that 927 increase farmer accessibility to tools and support services (e.g., access to veterinary support, training in 928 microscopy to conduct basic health assessments, training in the use of pond side diagnostics and devices 929 like oxygen probes, water quality analysis etc) that empower them to make real-time management decisions. 930 There is, for example, a need to develop a farm toolbox to support small to medium farmers. Training and 931 education, therefore, will remain a priority in all aspects of aquaculture, the provision of which can be 932 realised through industry approved on-line training programmes (i.e., have consensus on standard 933 practices). With the development of on-line platforms, it would be possible to have increased accessibility 934 to veterinary health advice, through centralized, government-approved, call centres.

935 Scientific evidence and decades of experience lead us to believe that "healthy and quality seed" is probably 936 the most important input for achieving sustainable and economically viable aquaculture production. 937 Research towards using genetic tools and s in disease prevention and management should receive higher 938 level of priority. In this review, we took a different approach, substantially deviating from traditional 939 thematic review process. We looked at major challenges, issues, and opportunities for improving aquatic 940 biosecurity. We concentrated on our decades of experience and practicalities of strategies used to address 941 biosecurity. We endeavoured to understand and describe the complex nature of the problem and try to 942 present a better holistic approach to aquatic animal health management. An all-encompassing ecosystem 943 approach to aquaculture will mitigate impacts on ecosystem services and biodiversity, and provide the 944 necessary resilience to future disease threats, including those exacerbated by climate change.

945 Improving health management of cultured species must be a key component of future aquaculture 946 development agenda. At the national level, public-private partnerships are vital in achieving objectives of 947 common benefit. Industry cannot develop effective biosecurity without a clear government strategy and 948 support, specifically legislation, which provides an effective framework for safe trade. The improved control 949 of transboundary diseases requires the wider and more consistent implementation of OIE standards and 950 other relevant regional voluntary guidelines agreed at the regional levels, recognizing the importance of 951 putting these measures into the appropriate regional agro-ecological context, conditions, and perspectives.

- 952 The PMP/AB is one of the approaches that could be explored as it offers opportunity to:
- 953 reduce the burden of disease;
- 954 improve health at farm and national levels;
- 955 minimize global spread of diseases;
- optimize socio-economic benefits from aquaculture;
 - attract investment opportunities into aquaculture; and
 - achievement of One Health goals.
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960 Although there is huge potential for aquaculture to continue its rapid growth and increase its contribution 961 to global food security, sustainable growth of aquaculture is threatened by both known diseases, which we 962 cannot effectively control, and new diseases, which may become pandemic. Recent pandemics have shown 963 that global production systems are epidemiologically connected and, consequently, diseases of cultured 964 aquatic species present a shared global threat that demands global solidarity. The world now depends on a

- 965 sustainable future for aquaculture and improved aquatic health management is critical to its continued and 966 growing contribution to global food security.
- 967 Further reading
- 968 To be added.
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